



PATENT  
Customer No. 22,852  
Attorney Docket No. 9136.0007-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
	)	
VANNIASINKAM, Joseph et al.	)	Group Art Unit: 2883
	)	
Application No.: 10/028,419	)	Examiner: KIANNI, Kaveh C.
	)	
Filed: December 21, 2001	)	
	)	
For: GRATING BASED	)	Confirmation No.: 3557
MULTIPLEXER/DEMULTIPLEXER	)	
COMPONENT	)	

**Mail Stop AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(d)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(d), Applicant brings to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after a Final Action and the Commissioner is hereby authorized to charge the fee of \$180.00 to Deposit Account No. 06-0916, as specified under § 1.17(p) and a certification as specified under § 1.97(e).

Each document listed in this Information Disclosure Statement was first cited in a communication from the U.S. Patent Office in a counterpart application, and this Information Disclosure Statement is being filed within thirty days of the mailing date of that communication and therefore within thirty days from its receipt by any individual identified in 35 U.S.C. § 1.56.

07/24/2007 SSITHIB1 00000100 060916 10028419

01 FC:1806 180.00 DA

In accordance with 37 C.F.R. § 1.704(d), no negative patent term adjustment will result from this Information Disclosure Statement.

A copy of the listed non-patent literature document is attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicant determines that the cited document does not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: July 20, 2007

By: 

Gary J. Edwards  
Reg. No. 41,008

<b>EXPRESS MAIL LABEL NO.</b> <b>EV 943276869 US</b>
---

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

## **Complete if Known**

Application Number 10/028,419  
 Filing Date December 21, 2001  
 First Named Inventor VANNIASINKAM, Joseph et al.  
 Art Unit 2883  
 Examiner Name KIANNI, Kaveh C.  
 Attorney Docket Number 9136.0007-00

## **U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

## **NONPATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		Final Office Action mailed June 21, 2007 in U.S. Application No. 11/455,114 (Attorney Docket No. 9136.0007-01).	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**EXPRESS MAIL LABEL NO.  
EV 943276869 US**